

3rd International Meeting

**ADVANCES IN MALIGNANT LYMPHOMA: MAXIMIZING THE  
BASIC-TRANSLATIONAL INTERFACE FOR CLINICAL APPLICATION**

IN COOPERATION WITH THE INTERNATIONAL CONFERENCE ON MALIGNANT LYMPHOMA (ICML)

June 23-26, 2022 | Westin Copley Place | Boston, MA

**AAGR**

American Association  
for Cancer Research®

FINDING CURES TOGETHER®

# Development of Nicotimanide Enhanced NK cell Therapeutics for Lymphoma

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UNIVERSITY OF MINNESOTA

# Disclosure Information

AACR Advances in Malignant Lymphoma

June 23-26, 2022 | Boston, MA

**AACR** American Association  
for Cancer Research\*

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## Veronika Bachanova, MD

I have the following relevant financial relationships to disclose:

Employee of: none

Consultant for: Karyopharma, ADC, Astra Zeneca, Gamida Cell

Speaker's Bureau for: none

Grant/Research support from: Incyte, Gamida Cell, BMS, FATE Therapeutics,

Stockholder in: none

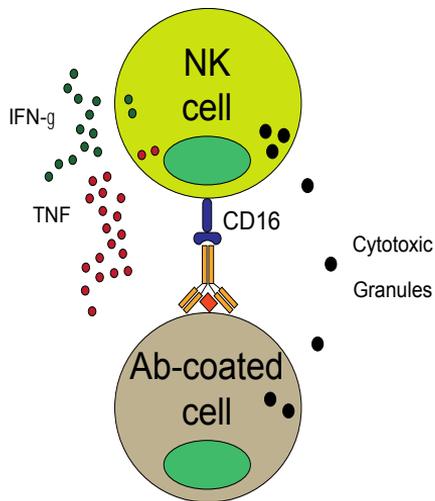
Honoraria from: none

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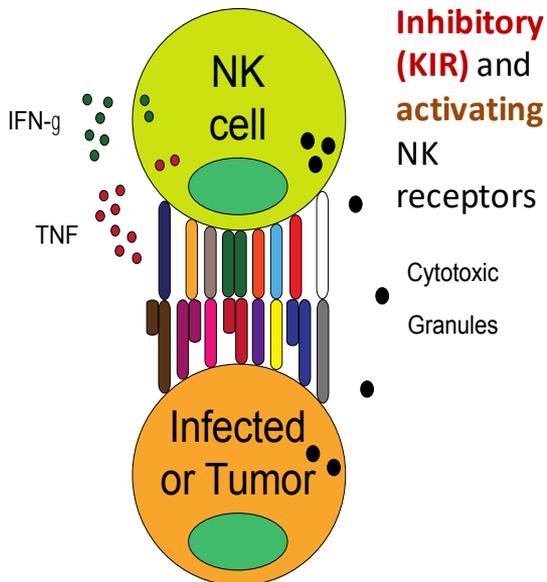
My additional financial relationship disclosures are: none

# NK Cell Biology Informs on Clinical Strategies to Augment NK cell Function

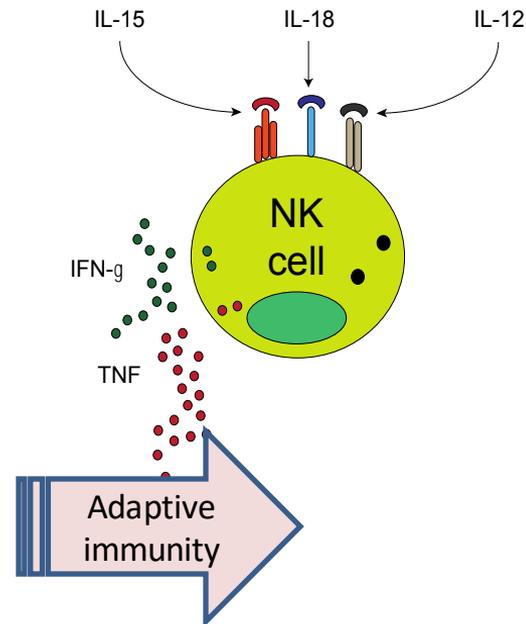
## Antibody-Dependent Cellular Cytotoxicity (ADCC)



## Natural Cytotoxicity

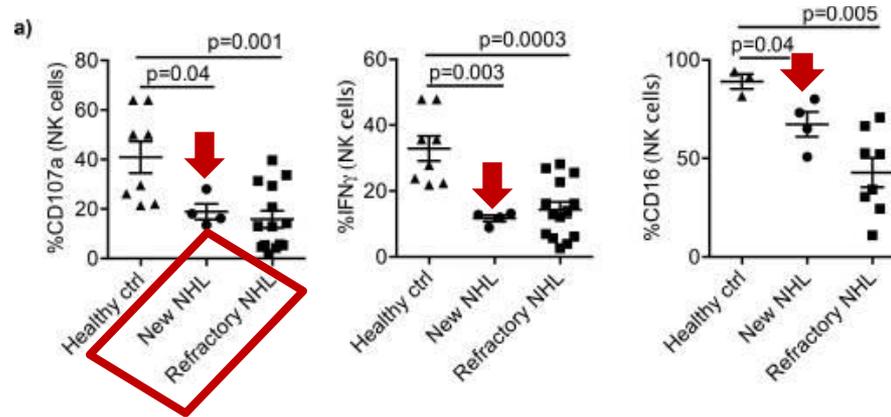


## Cytokine Stimulation

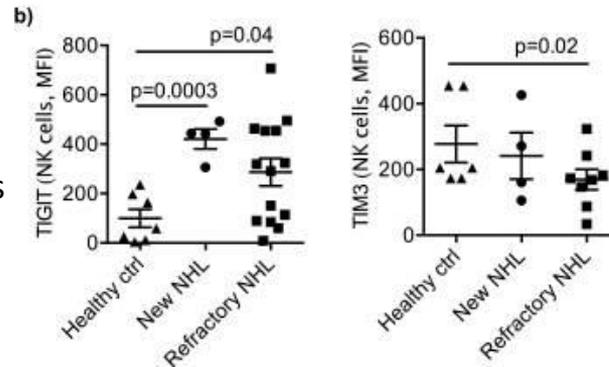


# Progressive Decline of NK cell function in patients with relapsed/refractory lymphoma

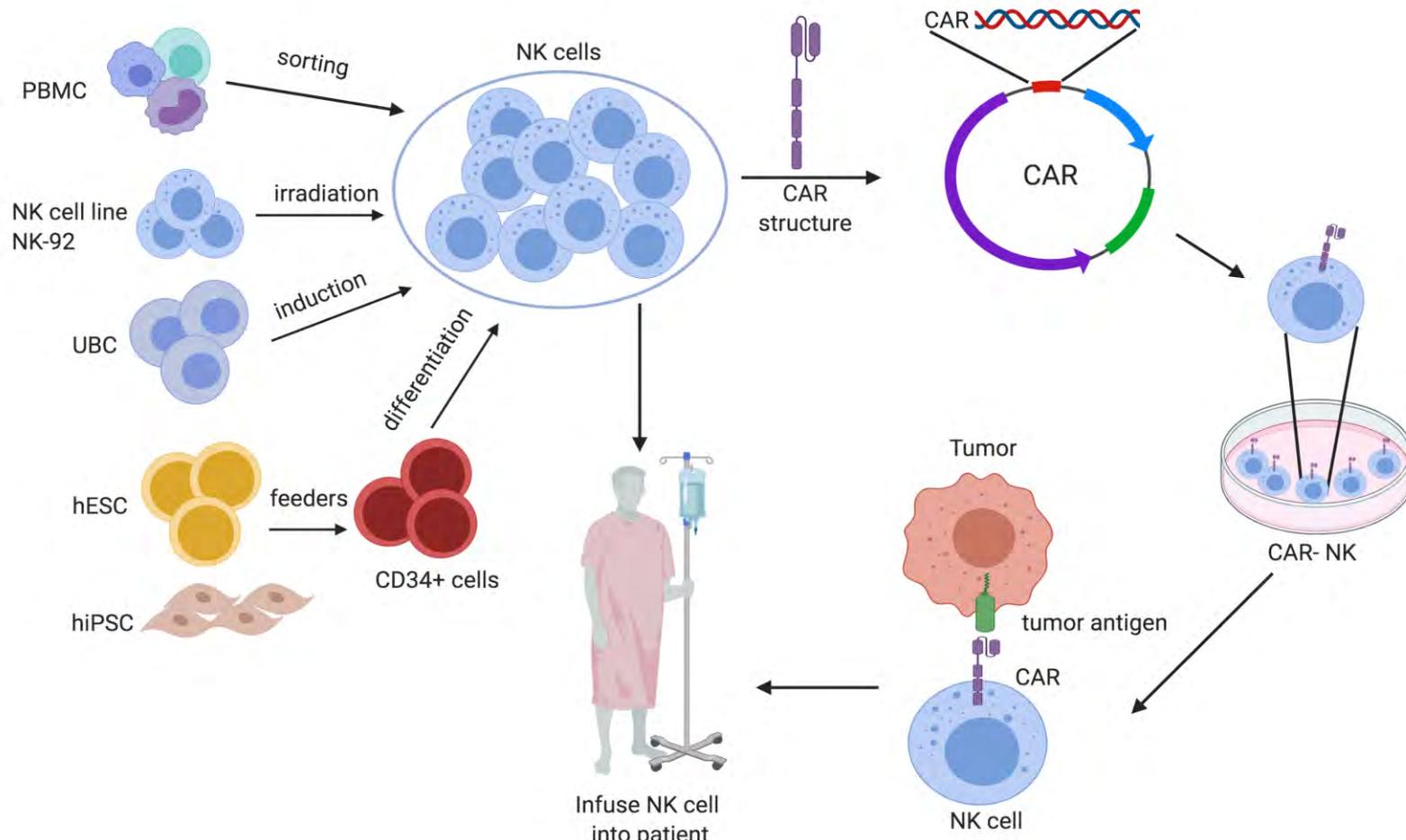
NK cells have impaired killing, IFN $\gamma$  production and lower CD16 expression compared to healthy controls



NK cells have altered expression of immunosuppressive receptors TIGIT and TIM3



# NK Cell Sources For Cell Therapy



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## Partially HLA matched related donor

Peripheral Blood  
apheresis



NK-enriched

Eligible patients: Poor prognosis  
with R/R AML and NHL

Cy 60 mg/kg x 1 day  
Flu 25 mg/m<sup>2</sup> x 4 days

CD3 depletion (+added CD19 depletion)  
IL-2 co-incubation overnight

HLA- haplo matched NK cells  
2-8 x 10<sup>7</sup> MNC/kg  
+ RITUXIMAB

IL-2. 10 MU SQ QOD x 6



Miller et al, Blood 105:3051-3057, 2005 pioneered for AML ,  
Bachanova et al. Blood 2014 AML cohort

Bachanova et al, Cancer Immunol  
Immunother. 2010

**1<sup>st</sup> allogeneic NK cell trial for lymphoma  
(NCT01181258) launched in 2008**

**First 6 patients reported in 2010**

**Subsequent 16 patients were enrolled**

- No serious infusion toxicity
- No GVHD
- No Cytokine Release Syndrome
- No Neurotoxicity

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Clinical efficacy in R/R lymphoma  
with ORR 28%

Low but we proved the concept !

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Haplo PB NK cells persisted in  
blood for up to 7 days

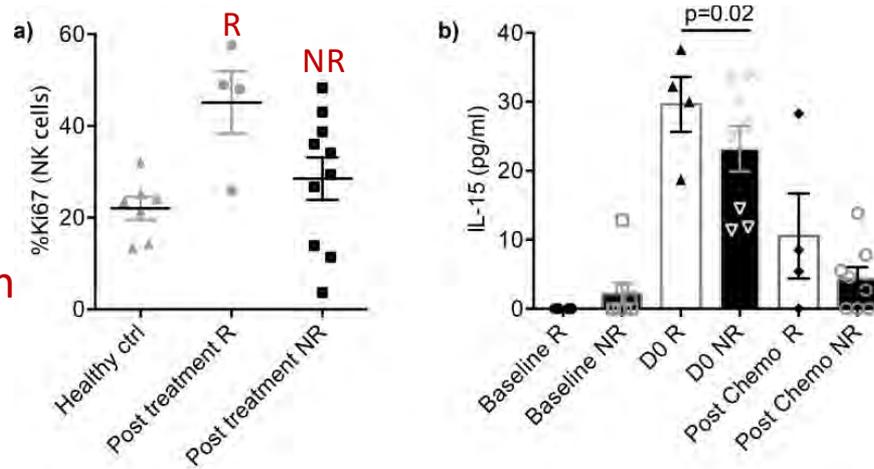
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Regulatory T cells (host) expanded  
in some patients due to high dose  
IL-2

# Responders experienced higher NK cell proliferation and endogenous IL-15 levels compared to non-responders

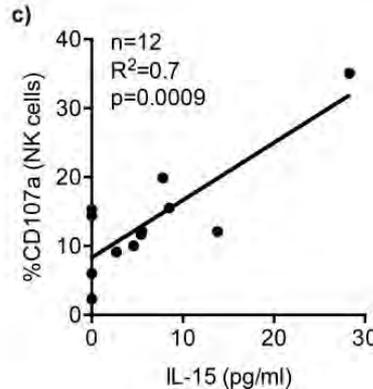
R responder  
NR non-responder

NK proliferation



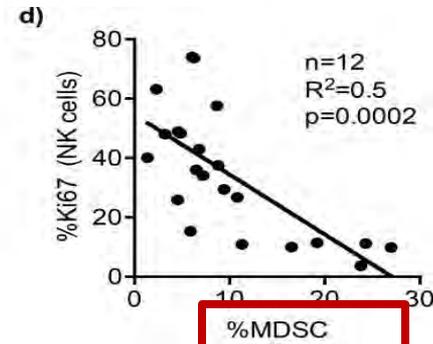
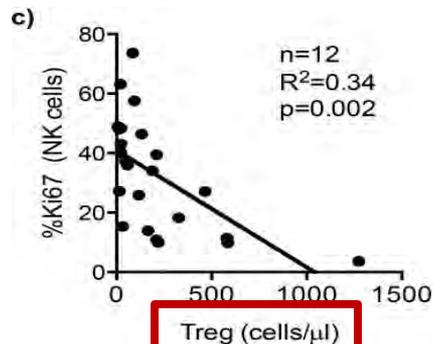
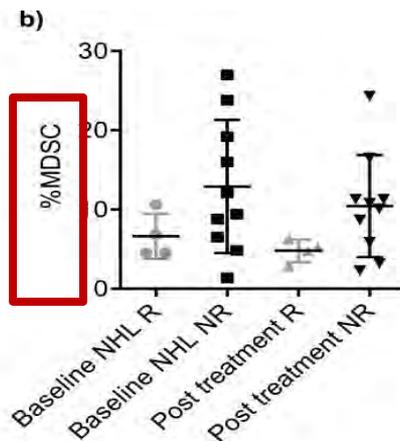
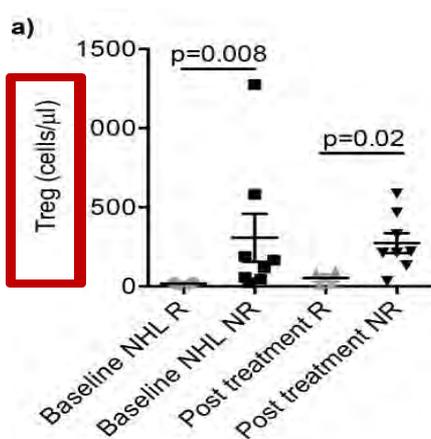
Endogenous serum IL-15 levels

Endogenous serum IL-15 levels correlated with NK cell cytotoxicity



# Haploidentical NK cells induced remissions in NHL patients with low levels of immune-suppressor cells

R responders  
NR non-responders



# Opportunities and What Did we Learn?

- Blood derived NK cells exhibit short-term persistence in vivo
- Hostile immune environment inhibits NK cell function
- Wide **variability of** PB NK cell product content (NK cells content ~40%; other cells: monocytes, B-cells, T cells  $<5 \times 10^5$ )
- **Limited** NK cell dose due from PB
- **Suboptimal clinical efficacy**

**Barriers to overcome**

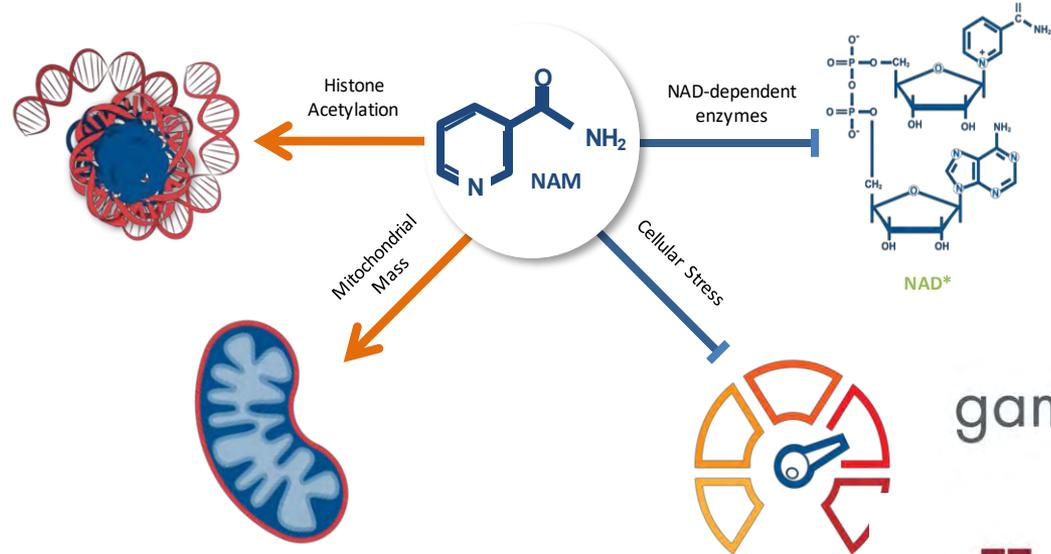


# Metabolic Re-programming of Hematopoietic Cells with Nicotinamide

Nicotinamide can expand any cell type (developed to expand CD34 cells from UCB)

## Importance of NAM

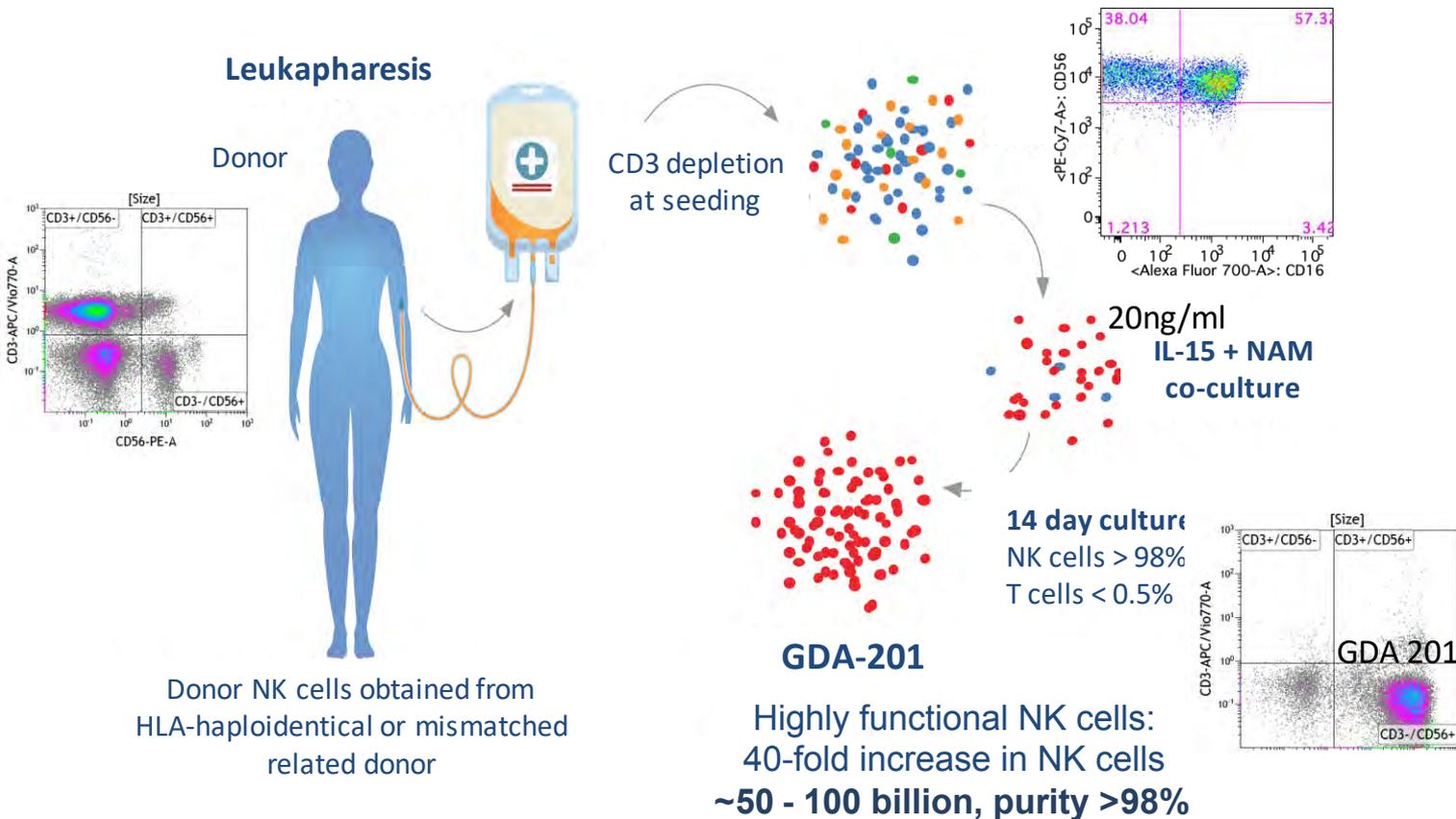
- is a potent allosteric inhibitor of NAD-dependent enzymes
- Plays a key role in metabolic reprogramming of cells
- Is a master regulator of NAD-related signaling pathways
- Transcriptional regulator
- **Preserves cellular functionality and phenotype during in vitro expansion**
- **Enhance the Number of Cells in Culture**



gamida Cell

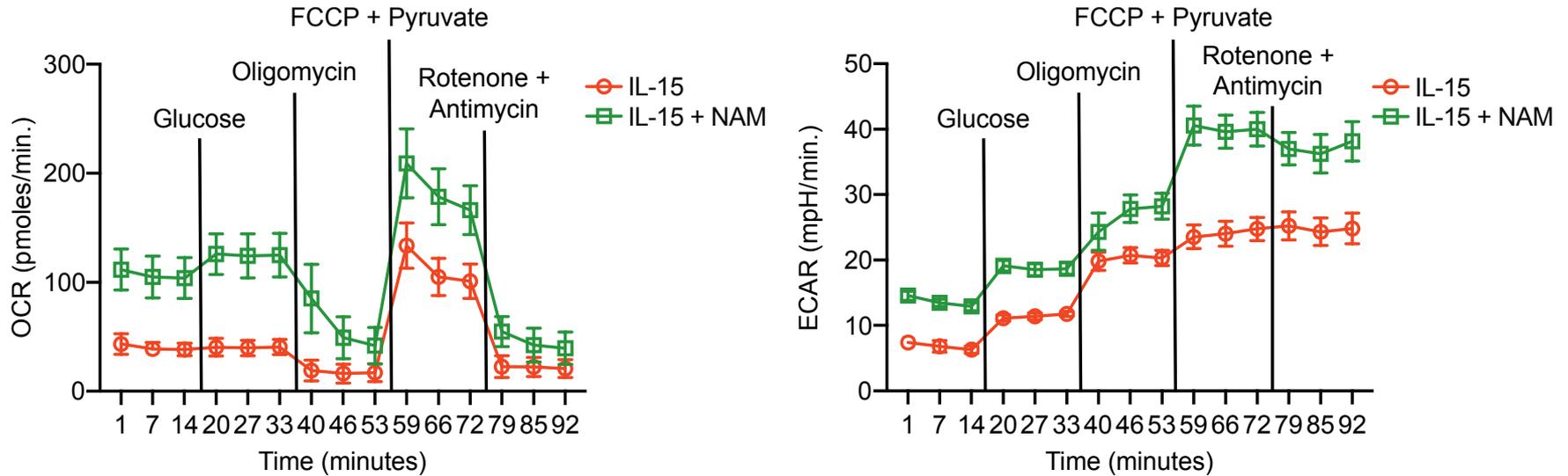
**HEALTH**  
UNIVERSITY OF MINNESOTA

# Nicotinamide – induced PB donor NK cells (GDA-201)



gamida Cell

# NAM promotes PB NK cell metabolic fitness during ex vivo expansion



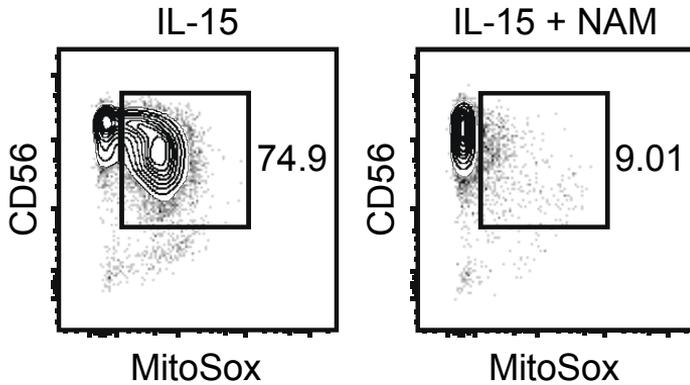
Seahorse assay measures mitochondrial bioenergetics

OCR oxygen consumption rate

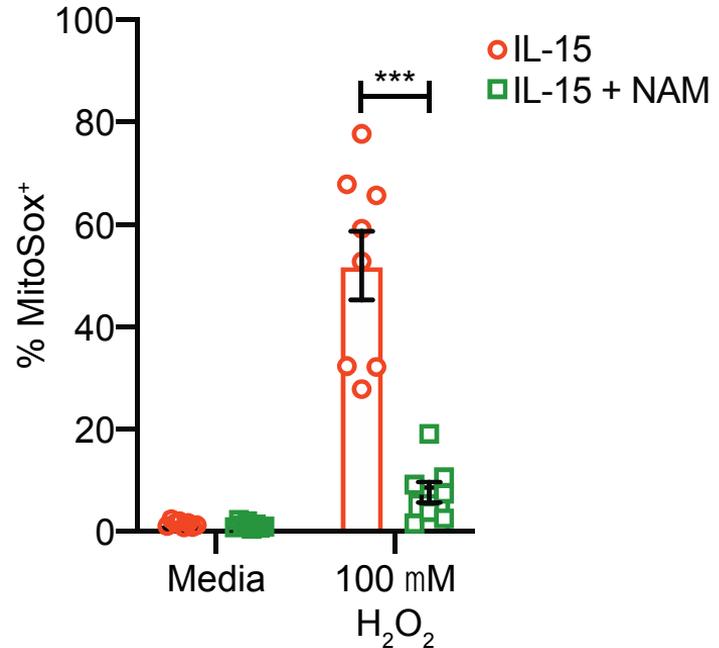
ECAR extracellular acidification rate estimates cellular glycolysis

Courtesy F.Chickocki

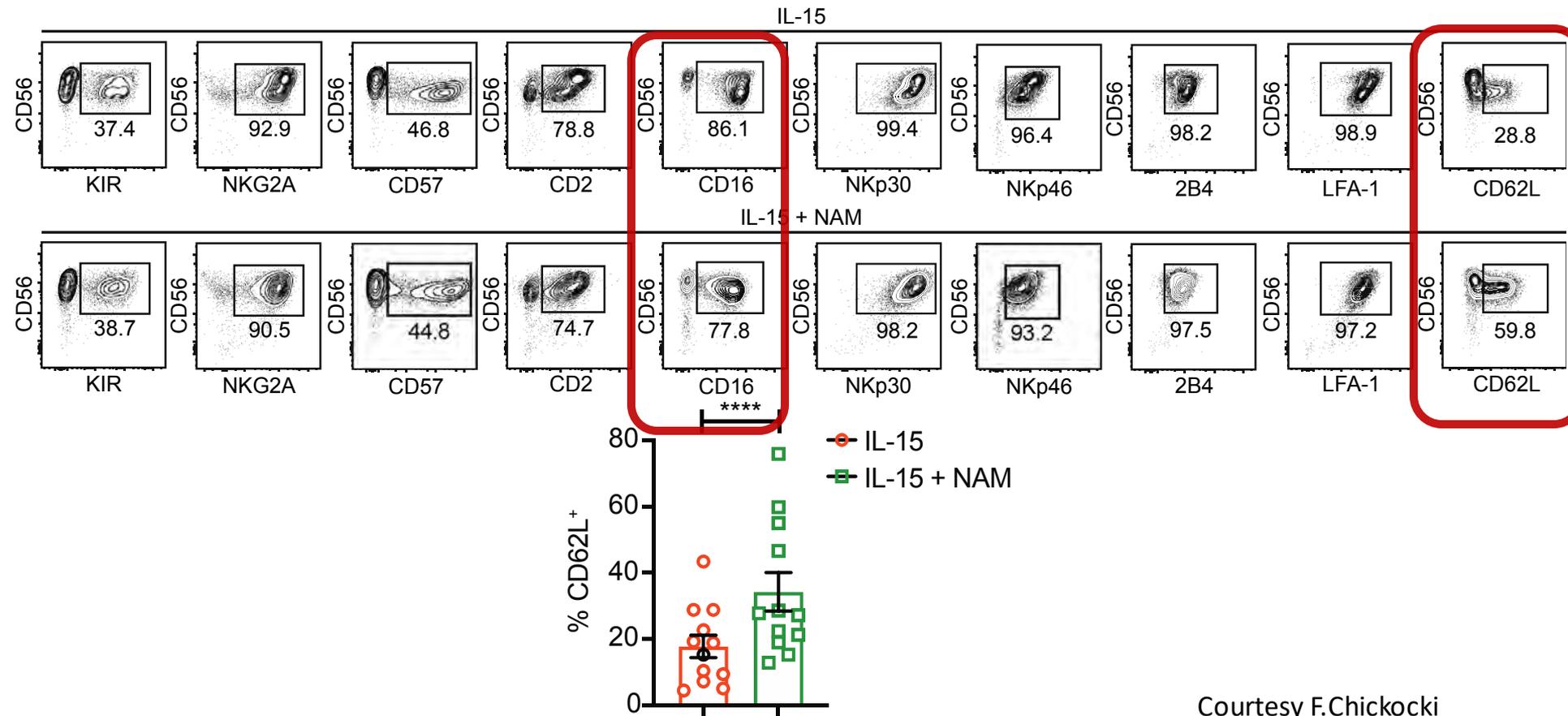
# NAM Protects NK cells against Oxidative stress



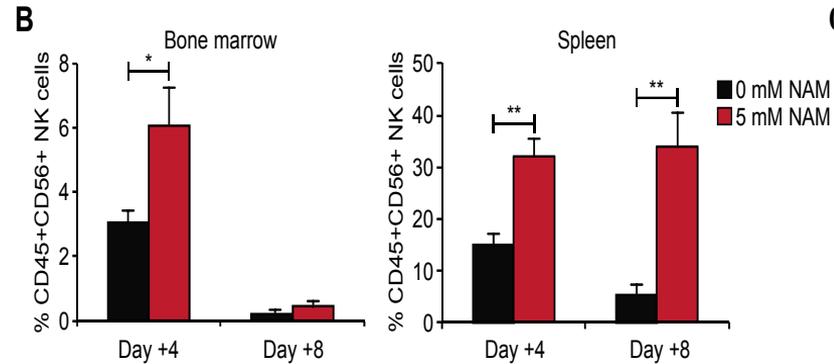
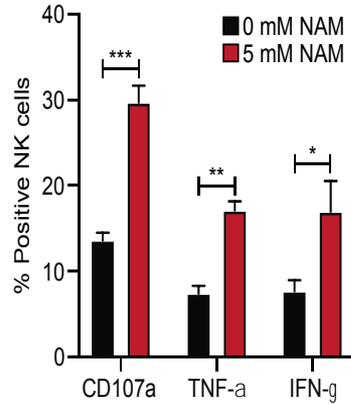
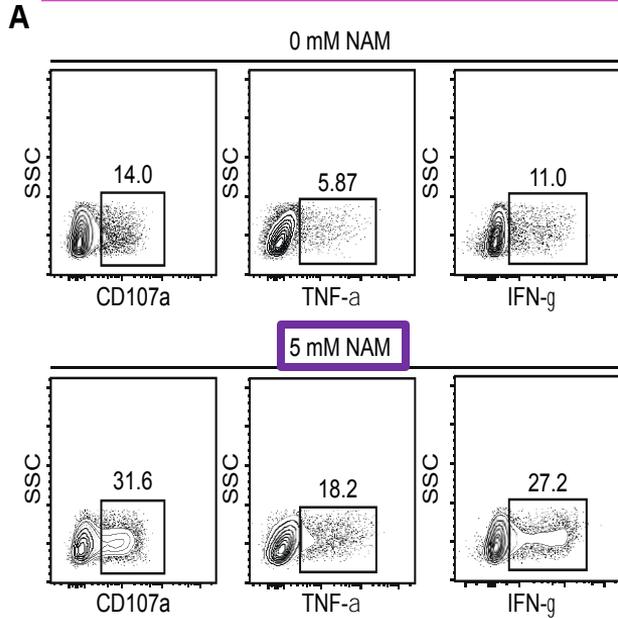
mitochondrial superoxide formation assay after co-culture with hydrogen peroxide



# Selective upregulation of CD62L during NK cell expansion with NAM



# NAM Enhances NK Anti-Tumor Function and Trafficking to Tissues in Animal Models



## NAM supplementation promotes:

- NK cell killing and cytokine production
- Tissue trafficking in animal model
- Antibody mediated killing

# Phase 1 Trial of GDA-201 NAM-Expanded Allo NK Cells (GDA-201) in Patients with Refractory NHL and Multiple Myeloma (MM)

**Objective:** To evaluate outcomes of GDA-201 in combination with rituximab in patients with R/R NHL

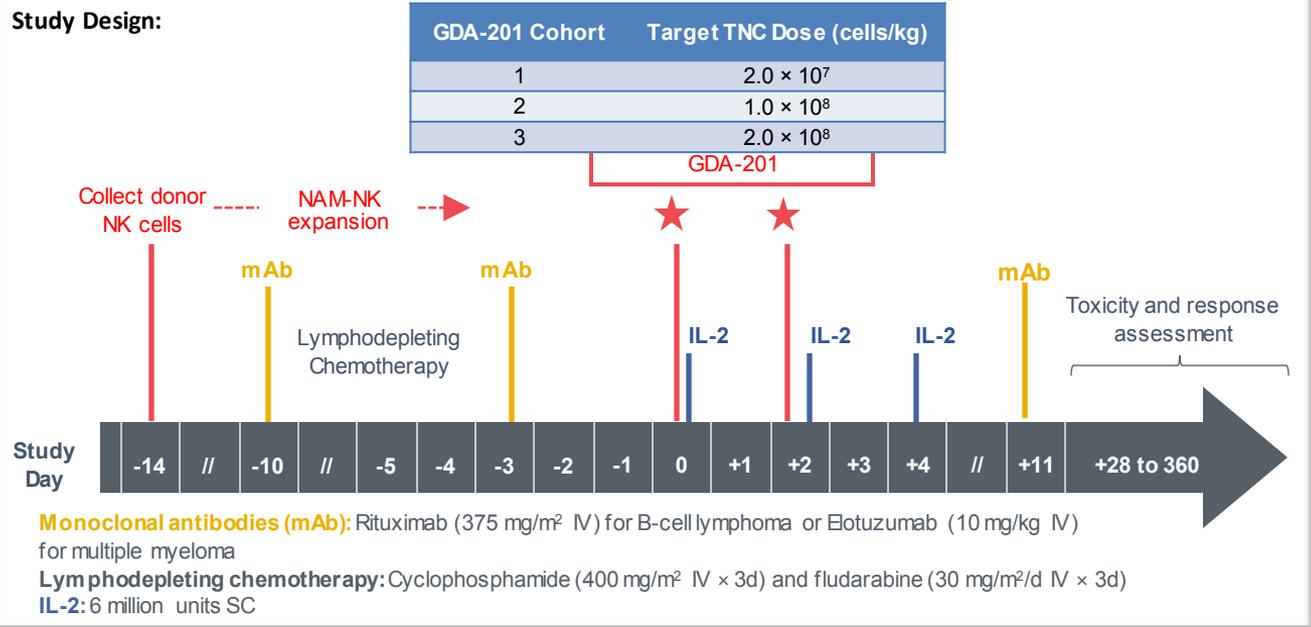
## Patients:

- ≥18 years of age with CD20-positive\* B-cell R/R NHL that has failed conventional therapy
- Measurable disease >1.5 cm
- HLA-haploidentical or mismatched related donor
- Karnofsky Performance Scale score ≥60%

## Endpoints:

- Safety, dose-limiting toxicities
- ORR, CR, PR, DoR, PFS, OS

## Study Design:



\*Confirmed by flow cytometry or immunohistochemistry. CR, complete response; DoR, duration of response; HLA, human leukocyte antigen; IL, interleukin; IV, intravenous; mAb, monoclonal antibody; NAM, nicotinamide; NHL, non-Hodgkin lymphoma; NK, natural killer; ORR, objective response rate; OS, overall survival; PFS, progression-free survival; PR, partial response; SC, subcutaneous; R/R, relapsed or refractory; TNC, total nucleated cell.

# Phase 1 GDA-201 Study: Patients Characteristics

Patient Demographics	Total (N=36)	NHL (n=20)
Age, median (range), years	61 (46–83)	60 (46–83)
Sex: male/female, n	21/14	11/9
Multiple myeloma, n	16	–
NHL, n	20	20
Diffuse large B-cell lymphoma	–	9
Follicular lymphoma	–	10
Mantle cell lymphoma	–	1
Disease status, n (%)		
Relapsed	28 (80)	17 (85)
Refractory	7 (20)	3 (11)
Stage III–IV (NHL only), n (%)	–	16 (80)

Patient Demographics	Total (N=36)	NHL (n=20)
Number of lines of therapies, median (range)	4.5 (1–10)	3 (1–8)
Prior autologous transplant, n (%)	16 (47)	3 (17)
Prior allogeneic transplant, n (%)	1 (3)	1 (5.6)
KPS 80 or less, n (%)	16 (47)	8 (45)
<b>GDA-201 cell dose, median in 10<sup>7</sup>/kg (range)</b>	<b>14.3 (2.0–26.0)</b>	<b>10.2 (2.0–26.0)</b>

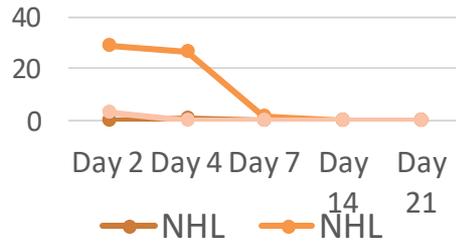
Bachanova, ASH  
2021

# Persistence and Expansion of GDA-201 (as % of all NK cells)

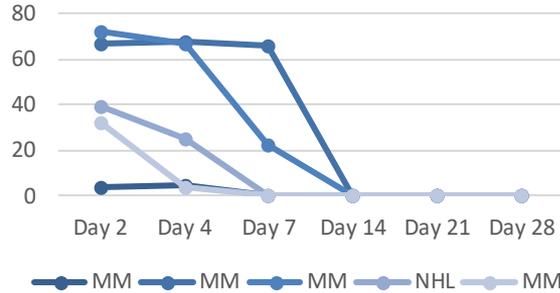
GDA-201 IN PERIPHERAL BLOOD (%)

## Dose Level 1

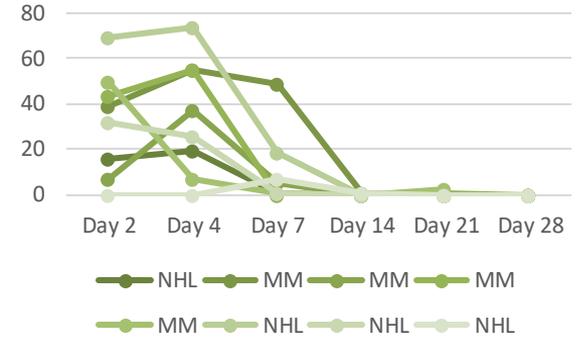
n=3



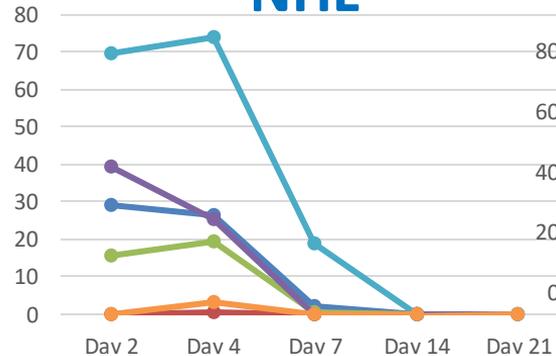
## Dose Level 2



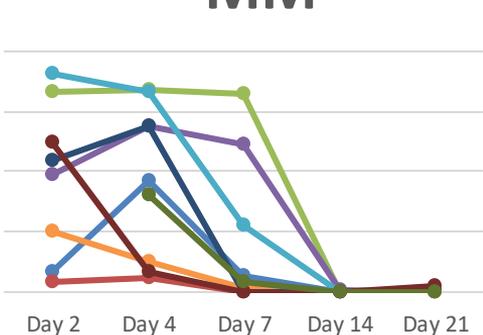
## Dose level 3



## NHL

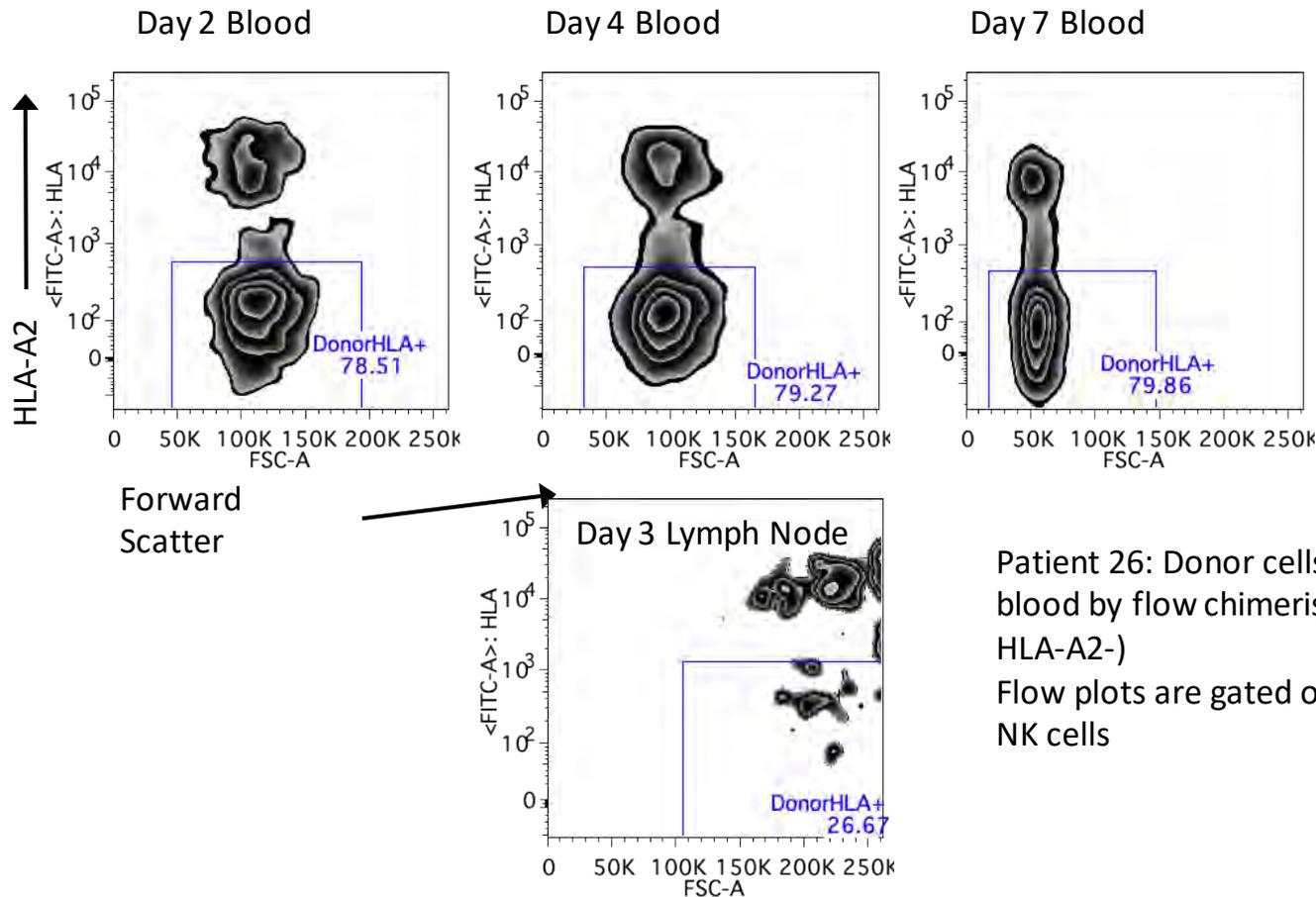


## MM



- GDA-201 detected by flow cytometry using donor HLA-specific antibodies.
- GDA-201 peaks between days 4 and 7 (range 2-75%)
- NK cells persistence appears to be dose dependent.

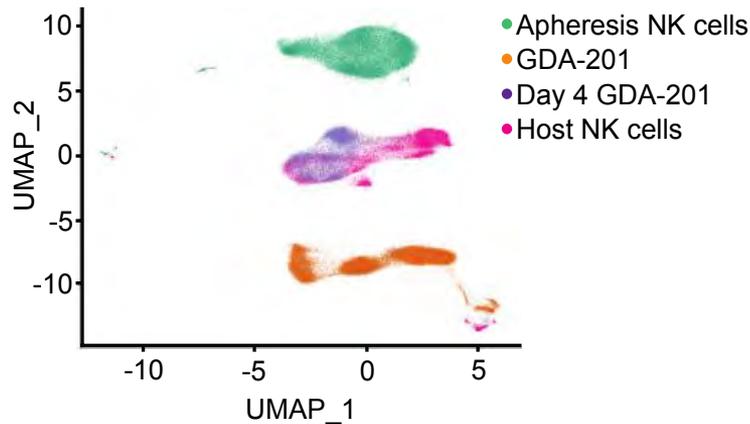
# GDA-201 Cells Traffic to Marrow and Lymph Nodes



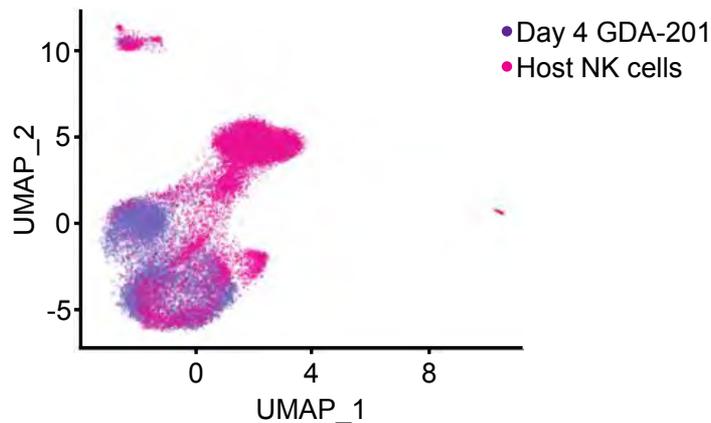
Patient 26: Donor cells detected in blood by flow chimerism (donor is HLA-A2-)

Flow plots are gated on CD56+CD3- NK cells

# Metabolic Re-programming with NAM Alters scRNA-seq Expression

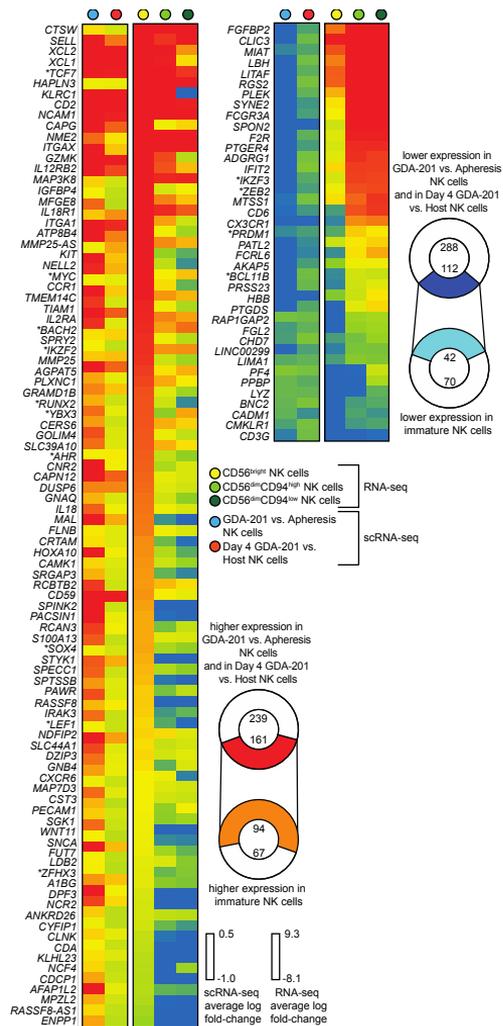


- Distinct differences in gene expression are observed in GDA-201 vs donor aphered NK cells
- Day 4 GDA-201 and Host NK cells cluster more closely, likely reflecting adaptation of the product in the host.



Frank Cichocki, Justin Hwang,  
unpublished

# GDA-201 cells resembles more immature stages of NK cell development



- NAM induced durable changes in gene expression of donor NK cells
- Differential gene expression of GDA-201 cells resembles more immature stages of NK cell development (NK565<sup>bright</sup>).
- Many genes associated with maturity were downregulated

Frank Cichocki, PhD  
 Justin Hwang, PhD  
 unpublished

# GDA-201 trial: Safety & Efficacy

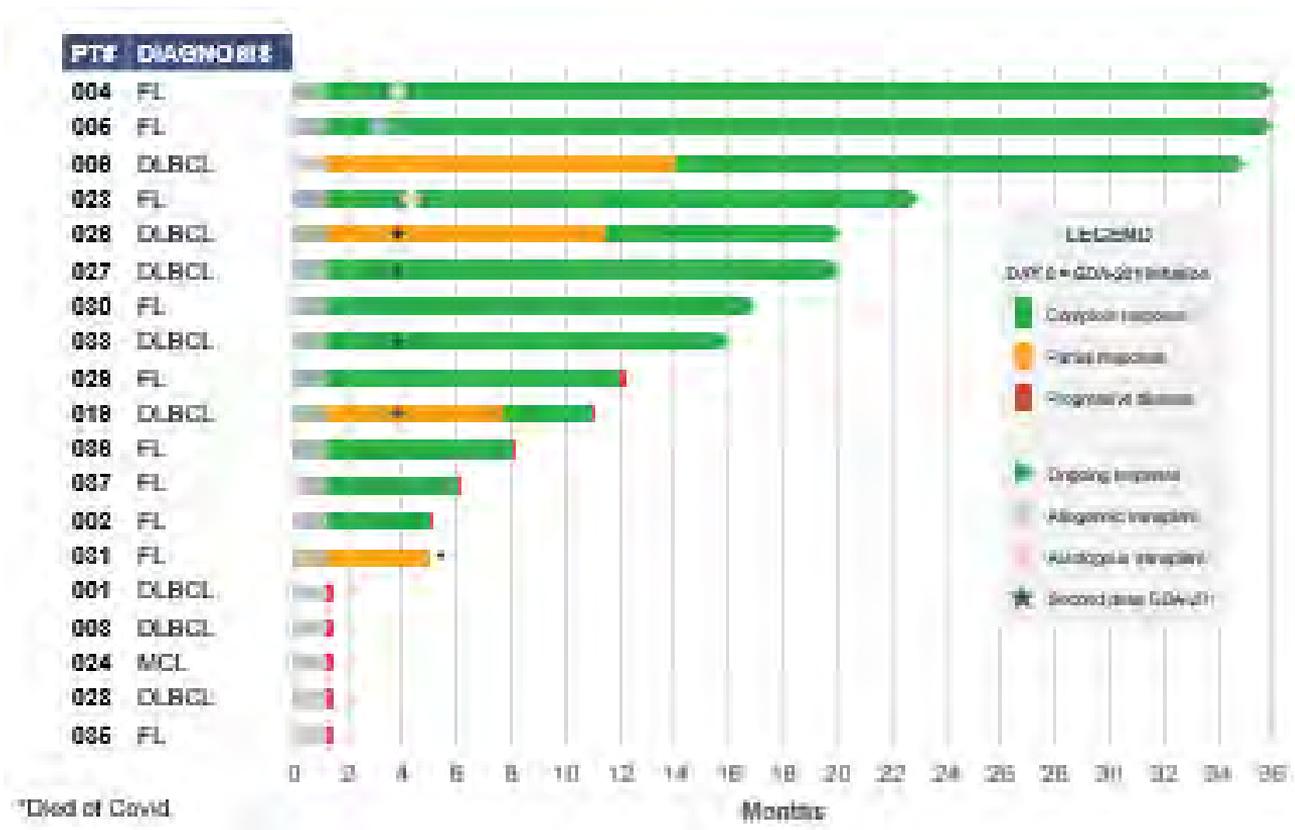
## Safety

- The most common grade 3/4 TEAEs were:
  - Thrombocytopenia (n=9)
  - Hypertension (n=9)
  - Neutropenia (n=4)
  - Febrile neutropenia (n=4)
  - Anemia (n=3)
- Adverse events of special interest (cytokine release syndrome, neurotoxic events, graft-versus-host disease, or bone marrow aplasia) were not observed
- One patient died of *Escherichia coli* sepsis

## Efficacy in Non-Hodgkin lymphoma (19 evaluable patients)

- **ORR was 74%**
- **CR rate 65% (n=13; 5 with DLBCL and 8 with FL)**
- **1 patient had PR**
- 4 NHL patients underwent re-treatment with GDA-201; 2 patients had further deepening of response from PR to CR

# Duration of response after GDA-201



\*Died of Covid

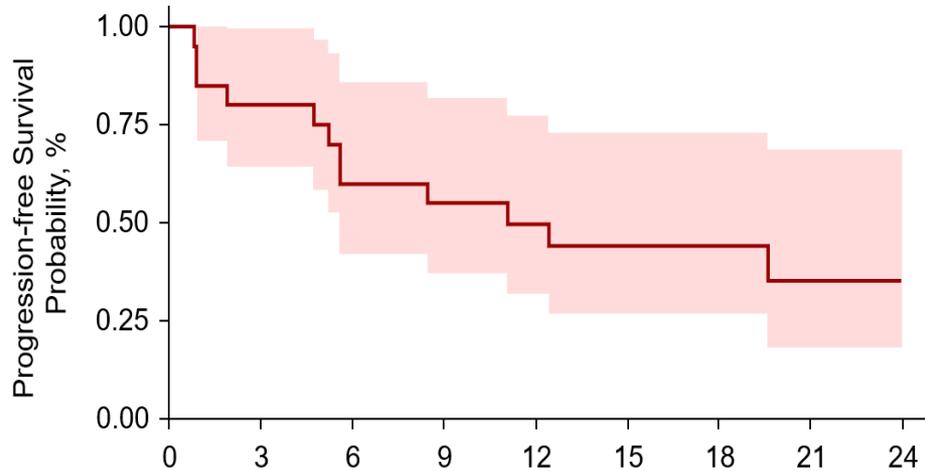
Median duration of response was 16 months (range 5-36 months)

Bachanova, ASH presentation 2021

# Survival at a median follow-up of 11 months (range, 1–36)

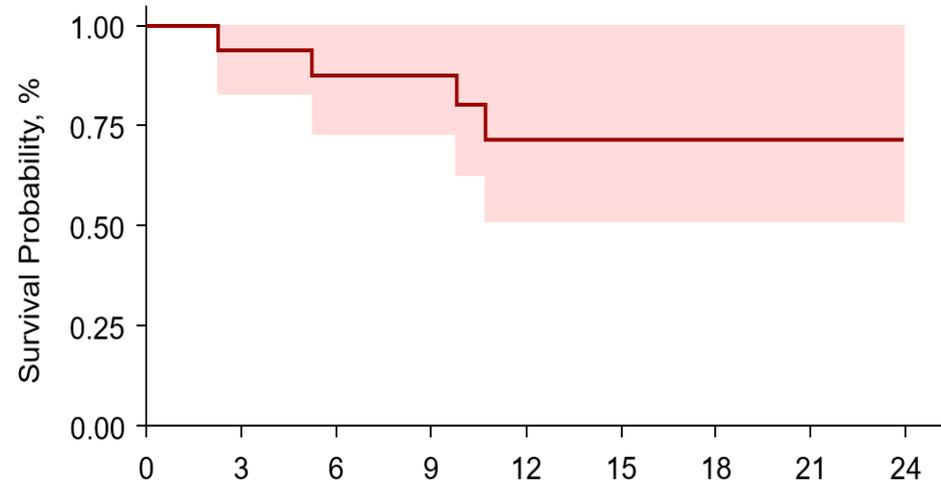
## Progression-free Survival

- 1- year PFS were 50% (95% CI, 27%–69%)
- 2-year PFS was 35% (95% CI, 14%–58%),



## Overall Survival

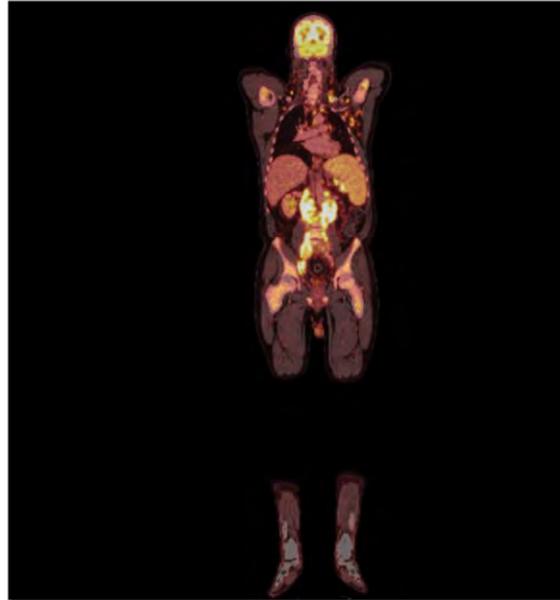
- 2-year OS was 78% (95% CI, 51%–91%)



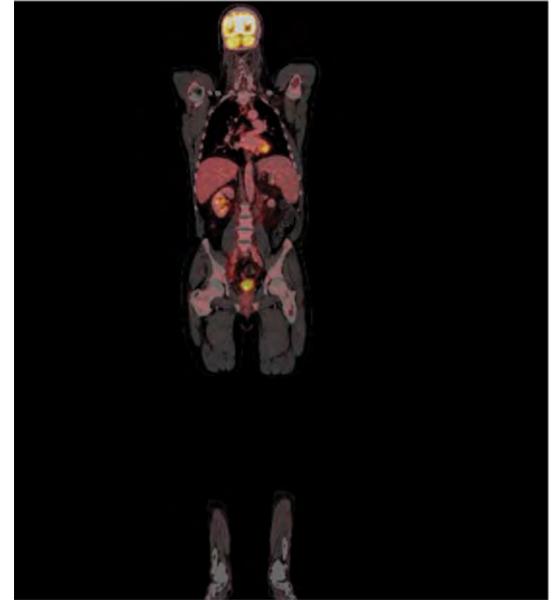
## Patient 004

- 60-year-old man with FL stage IVB
- Prior therapy: BR
- Relapse R-CHOP, and R-ICE – no response
- Day 28 post GDA-201: Complete response

Baseline



1 month post GDA-201

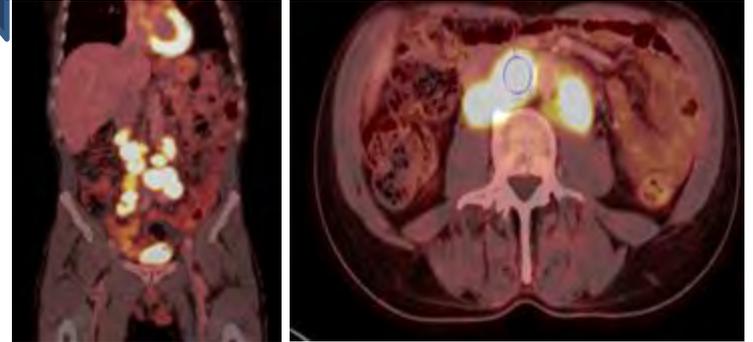


**Patient 004: Radiographic Complete Response**

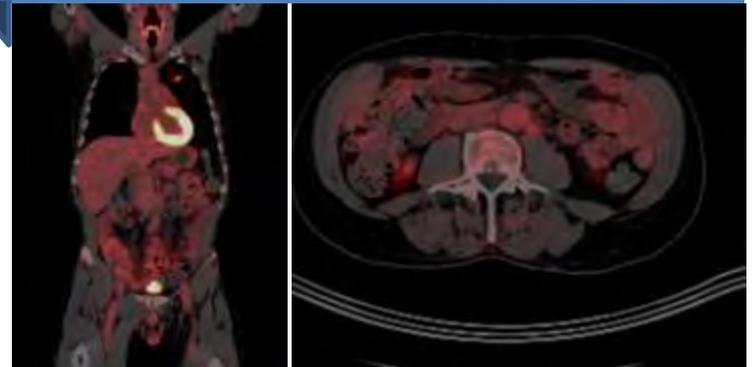
# Patient 009

- 57-year-old man with history of CLL and Richter's transformation - large cell lymphoma, measurable retroperitoneal lymph nodes at baseline
- Prior therapy: FCR-light, Rituximab/Bendamustine Ibrutinib/Revlimid, R-CHOP, Venetoclax/Rituximab
- **Allogeneic matched sibling HSCT**
- Relapse at 6 months
- Treated with GDA-201
- 28 day response- Partial Response
- 6 months: PR with continued tumor shrinkage
- 12 months: Complete response

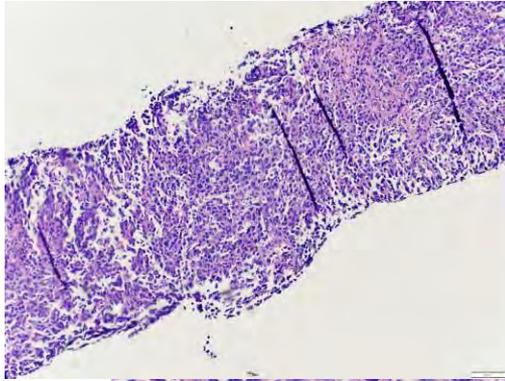
Pt 009: Baseline



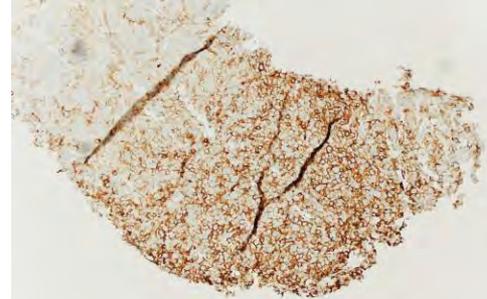
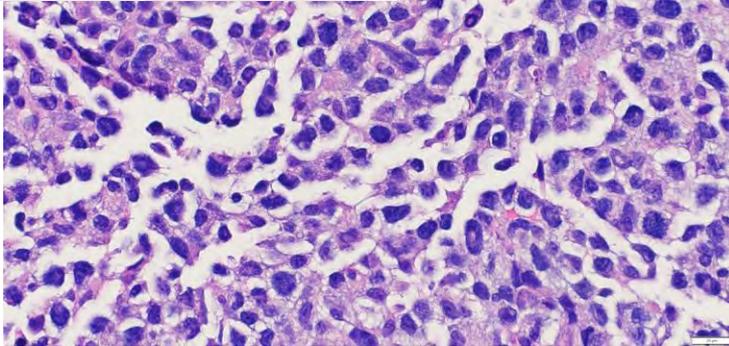
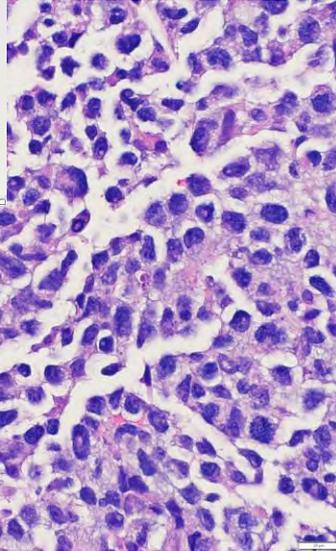
Pt 009: 6 month post GDA-201



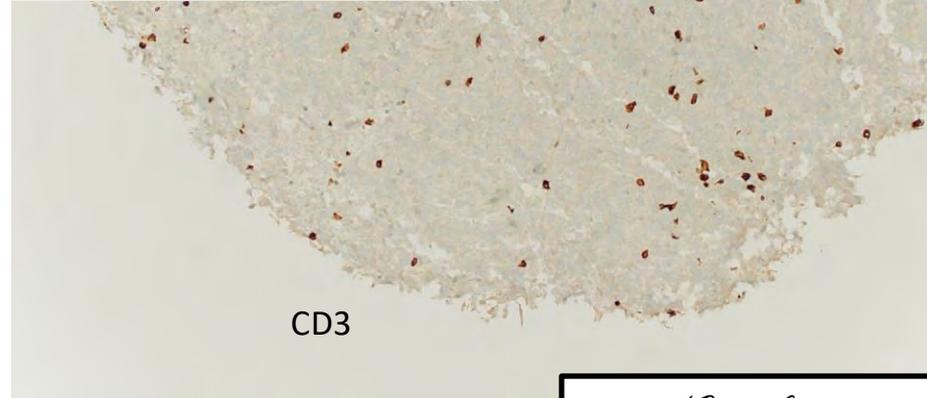
# Pre-treatment tumor biopsy patient 009



B-cell lymphoma



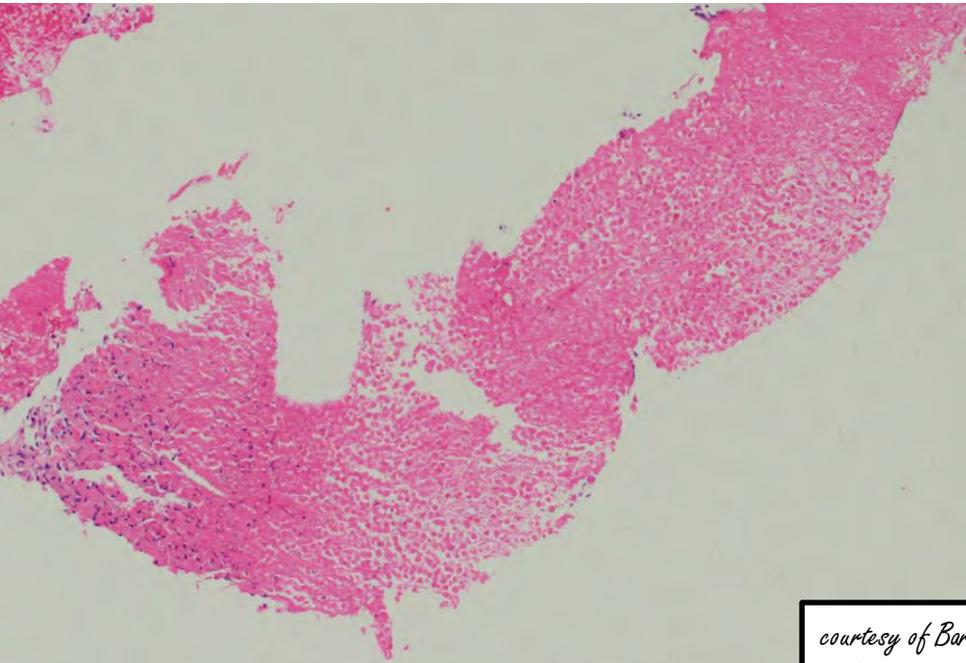
CD20 stains lymphoma B cells



CD3

*courtesy of Bartosz Grzywacz  
M.D.*

Post – treatment day 16 biopsy: necrotic lymphoma cells with ensuing tissue organization and fibrosis with inflammatory infiltrates.

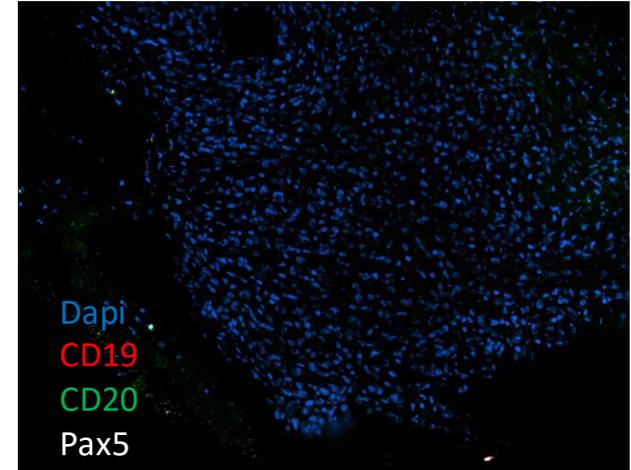
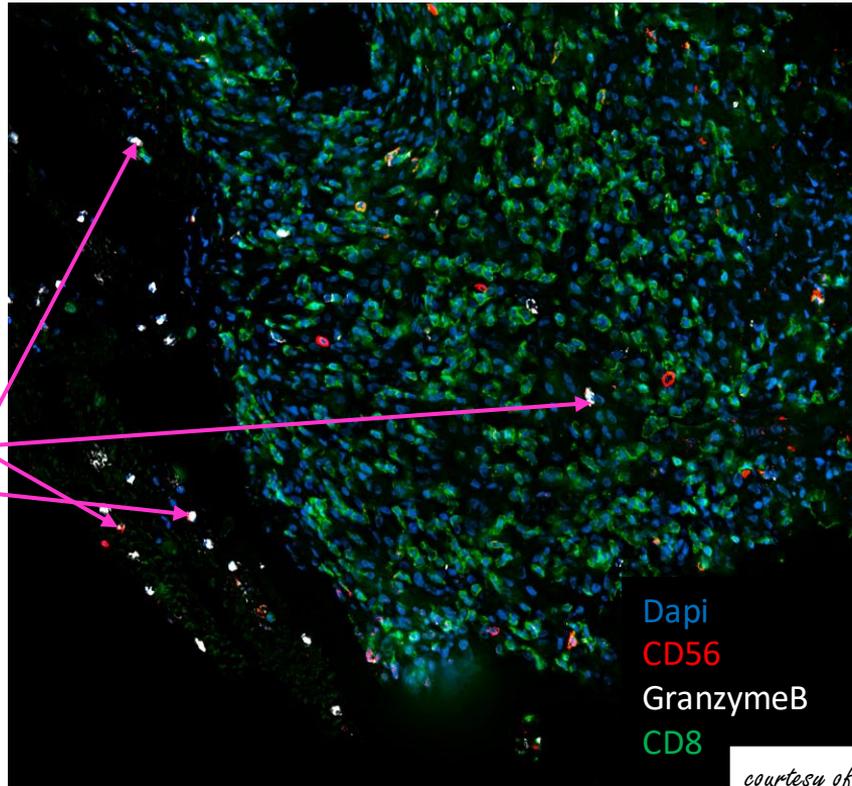


This area is shown in  
CODEX images



*courtesy of Bartosz Grzywacz  
M.D.*

# Detection of NK cells in tissues by CODEX at after GDA-201



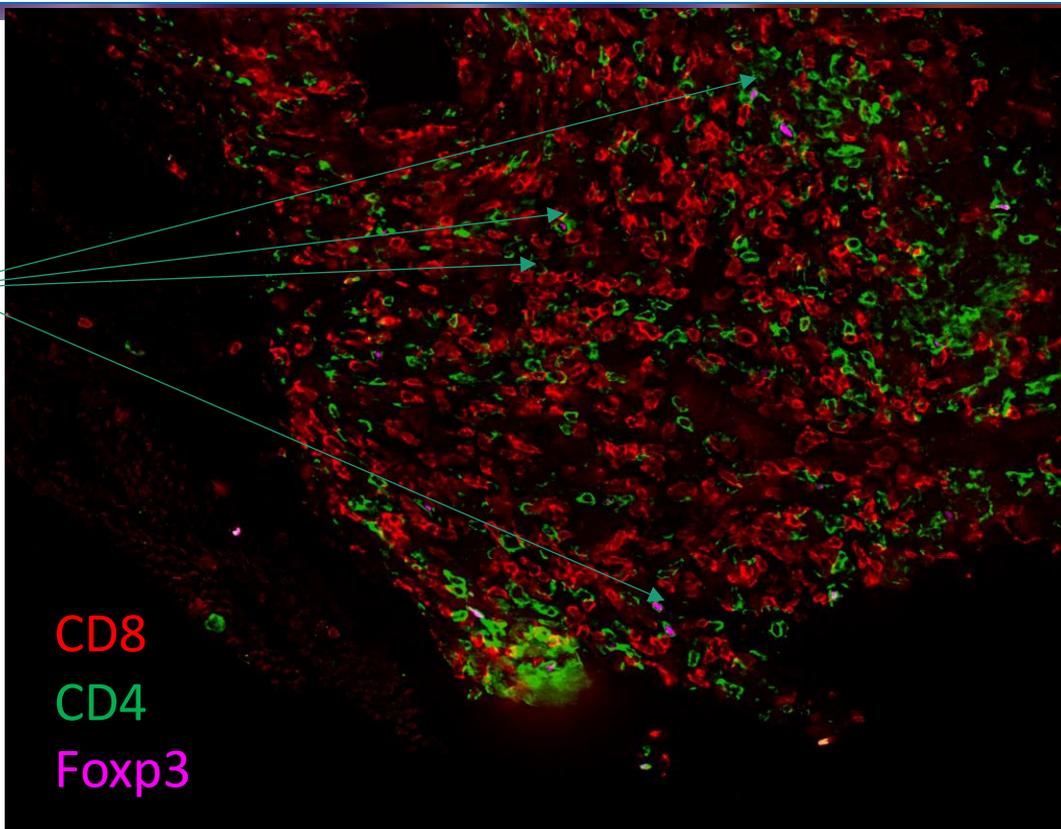
B cell markers: CD19, CD20,  
PAX5 are all negative

C/w Tumor eradication

*courtesy of Bartosz Grzywacz  
M.D.*

# T cell infiltrate predominates in post-treatment tumor biopsy

T regs



*courtesy of Bartosz Grzywacz  
M.D.*

# Phase 1 GDA-201 Study Findings and Limits:

- We developed a novel cell product manufactured with nicotinamide and void of genetic engineering
- Future directions include cryopreservation, HLA mismatching and exploration of multiple treatment cycles.
- **Multi-center Phase 1/2 study started enrollment in May 2022**

## Clinical Challenges in The Field

Cell persistence

Tumor specificity

Optimal expansion and trafficking

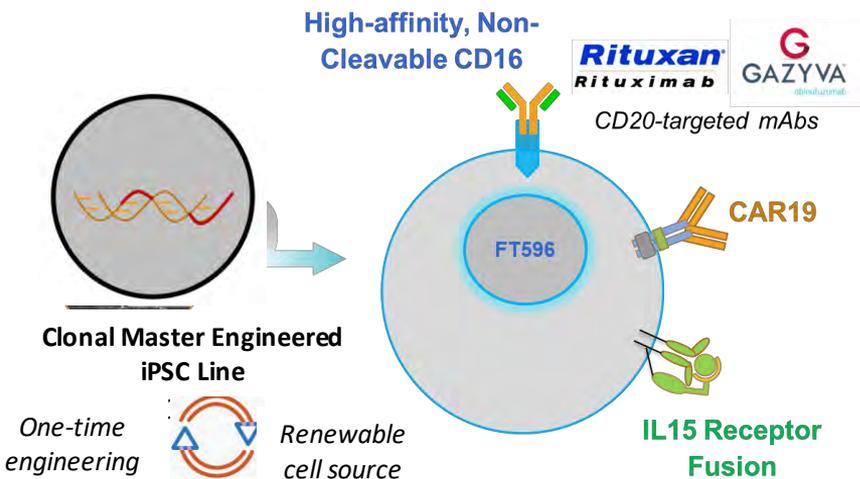
Cross-talk with adaptive immune system

Tumor escape in suppressive immune milieu

# Off-the-Shelf, Multi-Targeted CAR iNK Cells for Cancer Therapy

*Engineered from iPSC Line and Triple Genetically Modified*

Cell source	Advantages	Disadvantages
iPSC	<ul style="list-style-type: none"><li>-High proliferative capacity</li><li>-Homogeneous product</li></ul>	<ul style="list-style-type: none"><li>-Immature phenotype</li><li>-Low ADCC due to low CD16 expression</li><li>- Long culture condition</li></ul>



→ **hnCD16:** High-affinity 158V, non-cleavable CD16 Fc receptor to augment ADCC, enabling dual antigen targeting

→ **CAR19:** targets B-cell antigen CD19, optimized for NK cell biology, contains a NKG2D transmembrane domain, a 2B4 co-stimulatory domain and a CD3-zeta signaling domain

→ **IL-15RF:** Interleukin-15 receptor fusion, potent cytokine complex to promote survival and proliferation of NK cell and CD8 T cells

Jode P Goodridge et al, ASH Abstract 2019;  
FATE Therapeutics with permission



**Jeff Miller, MD**  
**Frank Cichocki, PhD**  
**Martin Felice, PhD**  
**Daniel Weisdorf, MD**

**RNAseq**  
**Justin Hwang, PhD**

**Pathology/CODEX**  
**Bartosz Grzywacz, MD**

**GMP Cell Processing  
Facility**  
**David McKenna, MD**  
**David Sumstad**  
**Dianne Kadidlo**

**Translational Therapy  
Core Lab**  
**Rose Wangen**

**Cell Therapy Clinical Group**

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**Tasha Kell, PA**

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**Dixie Lewis, RN**  
**Emily Steen, RN**  
**Roby Nicklow, RN**  
**Jaime Wurth regulatory/IND**  
**Jill Aughey IND, protocol**

**BMT/CT Program**  
**Tammy Grainger, NC, MS, RN**  
**Carol Rose, RN**  
**Pharmacy BMT group**